

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tatsuya Inokuchi et al.  
 Serial No.: 10/088,337  
 Filed : July 17, 2001  
 For : DATA RECORDING AND/OR REPRODUCING METHOD  
 AND APPARATUS, AND RECORDING MEDIUM  
 Attention : Customer Service Center  
 Initial Patent Examination Division

I hereby certify that this paper is being  
 deposited this date with the U.S. Postal  
 Service in first class mail addressed to  
 Assistant Commissioner for Patents, Box PCT,  
 Washington, D.C. 20231.

*Jay H. Maioli*

Jay H. Maioli  
 Reg.No. 27,213

Date  
 June 24, 2002

June 24, 2002  
 1185 Avenue of the Americas  
 New York, NY 10036  
 (212) 278-0400

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 USC 371 IN THE DO/EO/US

Hon. Commissioner of Patents and Trademarks  
 Box PCT  
 Washington, D.C. 20231

Sir:

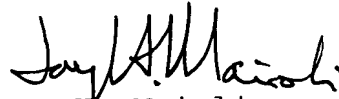
In response to the Notification of Missing  
 Requirements under 35 USC 371 mailed June 12, 2002.  
 Applicants hereby submit a newly executed Declaration that  
 identifies the above application by serial number and filing  
 date.

The required surcharge is also submitted herewith  
 along with a copy of the subject Notice.

Accordingly, it is respectfully submitted that all elements of the application have now been filed and an early and favorable examination on the merits is earnestly solicited.

Respectfully submitted,

COOPER & DUNHAM LLP

A handwritten signature in dark ink, appearing to read "Jay H. Maioli". The signature is fluid and cursive, with the first name "Jay" and last name "Maioli" being clearly legible.

Jay H. Maioli  
Reg. No. 27,213

File: 6715/64956  
JHM:ma  
Enc.

64956

JHM

10 Rev

01 JUL 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/088,337	Tatsuya Inokuchi	

Jay H Maioli  
Cooper & Dunham  
1185 Avenue of the Americas  
New York, NY 10036

2M. 8.12.02  
3M. 9.12.02  
4M. 10.12.02  
5M. 11.12.02  
6M. 12.12.02  
7M. 1.12.03

AP

INTERNATIONAL APPLICATION NO.	
PCT/JP01/06183	
I.A. FILING DATE	PRIORITY DATE
07/17/2001	07/17/2000

CONFIRMATION NO. 2869  
371 FORMALITIES LETTER



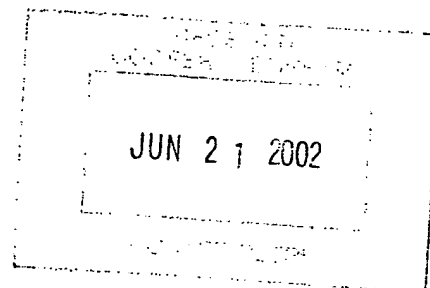
\*OC00000008220041\*

Date Mailed: 06/12/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHRISTINE S WASHINGTON

Telephone: (703) 305-3752

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/088,337	PCT/JP01/06183	

FORM PCT/DO/EO/905 (371 Formalities Notice)

07/03/2002 SHAJARRO 00000047 10088337

01 FC:154

130.00 OP